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PATENT  
450100-02715

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Makoto YAMADA et al.

Serial No. : 09/662,699

Filed : September 15, 2000

For : RECORDING APPARATUS, RECORDING METHOD, AND DISC  
SHAPED RECORD MEDIUM

Examiner : Greta L. Robinson

Art Unit : 2177

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Dennis M. Smid, Reg. No. 34,930

(Name of Applicant, Assignee or Registered Representative)

Signature

December 11, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT UNDER  
37 C.F.R. § 1.97(c) AND CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants are filing this Information Disclosure Statement and the accompanying form PTO-1449 along

with copies of the references therein identified. Such references have come to the attention of applicants by being cited in a Search Report issued in the corresponding European application on November 10, 2003, a copy of which is enclosed. So far as applicants are aware, this Information Disclosure Statement is being filed before the mailing date of the final Office Action or Notice of Allowance.

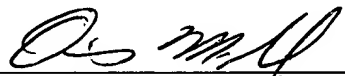
As is apparent in said Search Report, applicants' undersigned attorney hereby certifies pursuant to 37 C.F.R. 1.97(e) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document and not paid herewith or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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By:   
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